

2163



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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

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Application Number

09/747,645

Filing Date

12/22/2000

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First Named Inventor

Gupta, et al. OCT 17 2002

Group Art Unit

2163 Technology Center 2100

Examiner Name

Total Number of Pages in This Submission

43

Attorney Docket Number

GEMS8081.056

## ENCLOSURES (check all that apply)

- Fee Transmittal Form  
 Fee Attached  
 Amendment / Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement with references  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts/ Incomplete Application  
 Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)  
 Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s)

- After Allowance Communication to Group  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name:

J. Mark Wilkinson

Signature

Date

October 10, 2002

## CERTIFICATE OF MAILING

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10/22/02  
PA  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gupta, et al.

Serial No.: 09/747,645

Filed: 12/22/2000

Title: Method and Apparatus for Measuring  
Product Shipment Process Capability

Attorney Docket No.: GEMS8081.056

Commissioner for Patents  
Washington, D.C. 20231

Group Art Unit: 2163

Examiner:

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

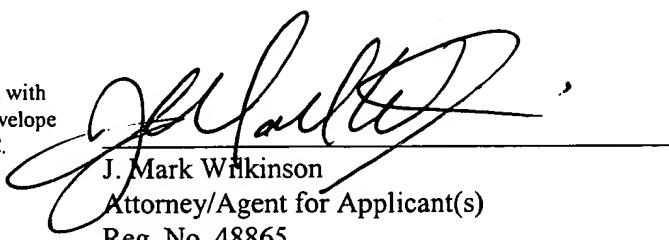
This Information Disclosure Statement is submitted under 37 CFR 1.97(b)(3).

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

  
J. Mark Wilkinson  
Attorney/Agent for Applicant(s)  
Reg. No. 48865

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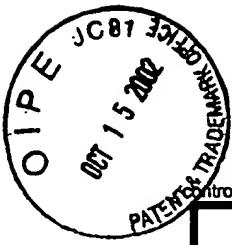
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Typed Name: Jean A. Jordan

Date: October 10, 2002

Signature: Jean A. Jordan

Telephone No.: (414) 271-5900



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Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/747,645
		Filing Date	12/22/2000
		First Named Inventor	Gupta, et al.
		Art Unit	2163
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	GEMS8081.056

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<b>Examiner Signature</b>		<b>Date Considered</b>	
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

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<sup>5</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3).<sup>6</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>7</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

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